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PATENT

Docket No. 355592000200

**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"**

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Assistant Commissioner for Patents, Washington, D.C. 20231, on August 5, 2002.

  
Jose Luna

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Roger DELUSIGNAN and Jeffrey DAVIS

Serial No.: 10/042,766

Filing Date: January 8, 2002

For: SYSTEMS AND METHODS FOR  
EVALUATING PATIENT-SPECIFIC  
INFORMATION AND PROVIDING  
PATIENT MANAGEMENT  
RECOMMENDATIONS FOR  
HEALTHCARE PROVIDERS

Examiner: To be assigned

Group Art Unit: 3736

**RECEIVED**  
AUG 15 2002  
TECHNOLOGY CENTER R3700

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \* is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of \* is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.


The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to

charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 355592000200. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: August 2, 2002

Respectfully submitted,

By:   
Laurie A. Axford  
Registration No. 35,053

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Form PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 355592000200

Application Number 10/042,766

Applicant

Roger DELUSIGNAN and Jeffrey DAVIS

Filing Date January 8, 2002

Group Art Unit 3736

Mailing Date August 5, 2002

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	06/1993	5,216,597	Beckers	364	413.02	
	2.	10/1998	5,827,180	Goodman	600	300	
	3.	11/1999	5,993,386	Ericsson	600	300	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	4.	05/1999	0917078	EP			
	5.	01/2000	WO 00/03344	WIPO			
	6.	11/2000	WO 00/72181	WIPO			
	7.	11/1998	WO 98/50871	WIPO			
	8.	11/1999	WO 99/59469	WIPO			

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	9.	Wood et al. "Prevention of Coronary Heart Disease in Clinical Practice" Eur. Heart J. 19:1434-1503 (1998)

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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



3736

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/02. OMB 0651-0031

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

5

Application Number

10/042,766

Filing Date

January 8, 2002

First Named Inventor

Roger DELUSIGNAN

Group Art Unit

3736

Examiner Name

To be assigned

Attorney Docket No.

355592000200

**ENCLOSURES (check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Reply☐ After Final☐ Affidavits/declarations☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement;  
PTO form 1449; and 9 references☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify  
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**RECEIVED**  
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TECHNOLOGY CENTER HS700**SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

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Individual Name

Laurie A. Axford

Registration No. 35,053

Signature

Date

August 2, 2002

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Jose Luna

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.